

18 September 2018

Wellington House
133-155 Waterloo Road
London SE1 8UG

T: 020 3747 0000
E: nhsi.enquiries@nhs.net
W: improvement.nhs.uk

Dr Minh Alexander
By email
minhalexander@aol.com

Dear Dr Alexander,

Request under the Freedom of Information Act 2000 (the “FOI Act”)

We refer to your email of 21 August 2018 in which you requested information under the FOI Act from NHS Improvement. Since 1 April 2016, Monitor and the NHS Trust Development Authority have been operating as an integrated organisation known as NHS Improvement. For the purposes of this decision, NHS Improvement means Monitor and the TDA.

Your request

You made the following request:

“ET1 forms forwarded to NHS Improvement as a Prescribed Person & follow up action

- 1. Please advise how many ET1 forms NHSI has been sent by the Employment Tribunal in its capacity as a Prescribed Person under the Public Interest Disclosure Act (and not as an employer) in the years 2016/17 and 2017/18*
- 2. Please advise what data NHSI collates and holds centrally about ET1 forms that it has received in this manner*
- 3. Please advise if as a result of being sent ET1 forms by the Employment Tribunal in the two years 2016/17 and 2017/18, NHSI contacted any of the relevant claimants who filed claims to the Employment Tribunal under the Public Interest Disclosure Act to follow up the public interest matters, and if so, how many claimants did NHSI contact?”*

Decision

NHS Improvement holds the information that you have requested and has decided to release all of the information that it holds. We will address each question in turn:

Question 1

2016/17 – 0

2017/18 – 1

Question 2

NHS Improvement retains the forms which are sent to us from the Employment Tribunal but does not collate any further data about them.

Question 3

NHS Improvement did not contact any claimants.

Review rights

If you consider that your request for information has not been properly handled or if you are otherwise dissatisfied with the outcome of your request, you can try to resolve this informally with the person who dealt with your request. If you remain dissatisfied, you may seek an internal review within NHS Improvement of the issue or the decision. A senior member of NHS Improvement's staff, who has not previously been involved with your request, will undertake that review.

If you are dissatisfied with the outcome of any internal review, you may complain to the Information Commissioner for a decision on whether your request for information has been dealt with in accordance with the FOI Act.

A request for an internal review should be submitted in writing to FOI Request Reviews, NHS Improvement, Wellington House, 133-155 Waterloo Road, London SE1 8UG or by email to nhsi.foi@nhs.net.

Publication

Please note that this letter will shortly be published on our website. This is because information disclosed in accordance with the FOI Act is disclosed to the public at large. We will, of course, remove your personal information (e.g. your name and contact details) from the version of the letter published on our website to protect your personal information from general disclosure.

Yours sincerely,

NHS Improvement