



**BANK OF ENGLAND**

Dr Minh Alexander  
Via email to:  
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**Information Access Team**  
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**Please quote ref. V 253160 on all  
correspondence**

Dear Dr Alexander

Thank you for your email of 21 August in which you ask the Prudential Regulation Authority ('PRA'), which is part of the Bank of England (the 'Bank'), in its capacity as *'prescribed person'* under the Public Interest Disclosure Act ('PIDA'), for access to the following under the Freedom of Information Act 2000 ('Fol Act'):

- '1. ... how many ET1 forms the PRA has been sent by the Employment Tribunal in its capacity as a Prescribed Person under the Public Interest Disclosure Act (and not as an employer) in the years 2016/17 and 2017/18*
- 2. .... what data the organisation collates and holds centrally about ET1 forms that it has received in this manner*
- 3. .... if as a result of being sent ET1 forms by the Employment Tribunal in the two years 2016/17 and 2017/18, the organisation contacted any of the relevant claimants who filed claims to the Employment Tribunal under the Public Interest Disclosure Act to follow up the public interest matters, and if so, how many claimants did the organisation contact?'*

I can confirm that the PRA did not receive any ET1 forms in the financial year 1 March 2016 to 28 February 2017 and received 1 ET1 form in the financial year 1 March 2017 to 28 February 2018.

The PRA collates and holds centrally that the information it has received is an ET1 form and the data that has been provided by the author on the ET1 form.

As a general rule, all cases where we receive a whistleblowing disclosure are contacted by the PRA. The exceptions to this are (a) where disclosure is anonymous, (b) the author has asked us not contact them or (c) where the PRA considers that it would appear to be unsafe to contact the author.

You may be interested to see 'Information about Whistleblowing and the Bank' which is available to view on our website at: <https://www.bankofengland.co.uk/whistleblowing>

Yours sincerely

Sandra Collins  
Information Access Team

Privacy notice

When you contact us, the Bank collects information about you. This includes your name, contact details and anything you choose to disclose in your correspondence.

We collect your personal data to assess your request and prepare our response to you. Our basis to process this data is that it is necessary for us to satisfy a legal obligation.

We will keep your personal data for 10 years. You can request that we no longer use your personal data, by contacting us via the website link below.

You have a number of rights under data protection laws, for example you have the right to ask us for a copy of the personal data the Bank holds about you. This is known as a 'Subject Access Request'. You can ask us to change how we process or deal with your personal data, and you may also have the right in some circumstances to have your personal data amended or deleted. To find out more about those rights, to make a complaint, or to contact our Data Protection Officer, please see our website at [www.bankofengland.co.uk/privacy](http://www.bankofengland.co.uk/privacy)