

**From:** Information Officer Information.Officer@SFO.GSI.GOV.UK  
**Subject:** RE: ET1 forms forwarded to SFO as a Prescribed Person & follow up action [FOI2018-131] [Official]  
**Date:** 4 September 2018 at 15:43  
**To:** Minh Alexander minhalexander@aol.com, Information Officer Information.Officer@SFO.GSI.GOV.UK  
**Cc:** Information Officer Information.Officer@SFO.GSI.GOV.UK

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Dear Mr Alexander,

Thank you for your email of 21 August 2018 setting out a request under the Freedom of Information Act 2000 (FOIA) concerning ET1 forms forwarded to the SFO as a Prescribed Person & follow up action. Specifically, you request the following information:

1. How many ET1 forms the SFO has been sent by the Employment Tribunal in its capacity as a Prescribed Person under the Public Interest Disclosure Act (and not as an employer) in the years 2016/17 and 2017/18.
2. What data the organisation collates and holds centrally about ET1 forms that it has received in this manner.
3. If as a result of being sent ET1 forms by the Employment Tribunal in the two years 2016/17 and 2017/18, the organisation contacted any of the relevant claimants who filed claims to the Employment Tribunal under the Public Interest Disclosure Act to follow up the public interest matters, and if so, how many claimants did the organisation contact?

We do not hold the information requested

For future reference please note that we know of no formal or legal requirement whereby an ET1 would be forwarded to us by the Employment Tribunal by virtue of our Director's capacity as a prescribed person under whistleblowing law. Nor are we aware of any instance where this has happened.

Yours sincerely,

M Rose  
Correspondence Unit

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**From:** Minh Alexander [<mailto:minhalexander@aol.com>]  
**Sent:** 21 August 2018 15:45  
**To:** Information Officer <[Information.Officer@SFO.GSI.GOV.UK](mailto:Information.Officer@SFO.GSI.GOV.UK)>  
**Subject:** ET1 forms forwarded to SFO as a Prescribed Person & follow up action

Dear Sir,

### **ET1 forms forwarded to SFO as a Prescribed Person & follow up action**

1. Please advise how many ET1 forms the SFO has been sent by the Employment Tribunal in its capacity as a Prescribed Person under the Public Interest Disclosure Act (and not as an employer) in the years 2016/17 and 2017/18
2. Please advise what data the organisation collates and holds centrally

about ET1 forms that it has received in this manner

3. Please advise if as a result of being sent ET1 forms by the Employment Tribunal, the organisation contacted any of the relevant claimants who filed claims to the Employment Tribunal under the Public Interest Disclosure Act to follow up the public interest matters, and if so, how many claimants did the organisation contact?

Yours sincerely,

Dr Minh Alexander

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