

**From:** Information Access <REDACTED>  
**Subject:** 20231011 FOI Response CQC IAT 2324 0556  
**Date:** 11 October 2023 at 16:42:09 BST  
**To:** 'minh alexander' <REDACTED>

Dear Dr Alexander

**Our Ref: CQC IAT 2324 0556**

I write in response to your correspondence on 7 September 2023, in which you asked for recorded information.

The Information Access team has now coordinated a response to your request.

CQC has considered your request in accordance with the Freedom of Information Act 2000 (FOIA). Our first obligation under the legislation is to confirm whether we do or do not hold the requested information.

In accordance with section 1(1) of FOIA we are able to confirm that CQC holds some recorded information in relation to this matter.

We will address each element of your request in the same order as you raised them as follows:

***“Assurance data relied upon by the CQC in making its decisions about Regulation 5 FPPR referrals***

***Please advise further to your FOI disclosure of 25 May 2022, copied below:***

***1) Can the CQC update the total number of Regulation 5 FPPR referrals it has received on NHS trust directors to date?***

***We have received 153 referrals from trusts involving 223 directors who may have been referred once or more.***

***2) Is the CQC able to tell me many of these referrals led to the CQC contacting the NHS trust in question?***

***The FPPR panel has followed up on 96 referrals.***

***3) How many of these FPPR referrals led to an investigation?***

***We don't hold this information.***

***4) How many FPPR investigation reports in total has CQC received from the relevant NHS trusts?***

***We have received 30 investigation reports***

***5) Can the CQC categorise the FPPR reports that it received?***

**For example, is the CQC able to disclose:**

**a) How many FPPR investigations were conducted internally by trusts (If the CQC holds this information, please include in this statistic any FPPR reports compiled by trust staff/directors/governors with external support).**

*We don't hold information on how many FPPR investigations were conducted internally. We have received 3 reports from internal reviews/investigations.*

**b) How many of the FPPR reports were based on external independent investigations?**

*We have received 27 reports from external independent investigations.*

**c) How many external contractors were responsible for the FPPR reports that were based on external independent investigations.**

*The number of external contractors is 17. Some contractors have been used more than once.*

**6. Please give a list of the external contractors who provided the external independent FPPR investigation reports.**

*Tamarix People  
Fiona Scolding QC  
Unique Health Solutions  
Law2Business  
Serjeants Inn Chambers / Clyde & Co  
Mark Sutton QC  
Bevan Brittan  
Deloitte  
Cordis Bright Consulting  
YSC - Young Samuel Chambers  
Good Governance Institute  
Capsticks Solicitors  
Ibex Gale  
EY  
3 Independent Individuals*

**7. Has the CQC also purchased services from any of the external contractors who provided these external independent FPPR reports on NHS trusts?**

**If so, please give the details of the relevant contractors from whom the CQC has also purchased services."**

*Services were only purchased Deloitte and EY*

We hope this information is of assistance to you.

Yours sincerely

On Behalf of:  
Information Access Team

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